

# HIEU V. PHAN

Michigan State University  
The Eli Broad College of Business  
Department of Finance  
635 N Shaw Lane  
East Lansing, MI 48824

Office: 303 Eppley Center  
Office phone: 517-884-1679  
Email: PhanH@broad.msu.edu

## Academic Appointments

Michigan State University Visiting Assistant Professor of Finance	August 2013 – present
University of Massachusetts, Lowell Assistant Professor of Finance	2012-2013

## Education

University of Connecticut, Storrs, CT PhD, Finance	2012
MBA	2006
National Economic University, Hanoi, Vietnam BA, Banking and Finance	1996
Hanoi National University, Hanoi, Vietnam BA, English	1992

## Publications

“Inside Debt and Mergers and Acquisitions,” **Journal of Financial and Quantitative Analysis**, forthcoming.

“Corporate Governance and Risk Taking: Evidence from Defined Benefit Plan Asset Allocation,” (with Shantaram Hedge), **Journal of Financial and Quantitative Analysis**, 2013, 48, pp. 919-946.

“Pension Contributions and Firm Performance: Evidence from Frozen Defined Benefit Plans,” (with Shantaram Hegde), **Financial Management**, 2013, Summer, pp. 373-411.

## Conference Presentations

“Stock Misvaluation and Synergy Driven Mergers and Acquisitions: Evidence from Voluntary Bid Withdrawals,” (with Shantaram Hegde)  
Financial Management Association International Annual Meetings (Chicago, IL, October 2013).  
Eastern Finance Association Annual Meetings (Savannah, GA, April 2011).

**“Inside Debt and Mergers and Acquisitions”**

Financial Management International Association Annual Meetings (Atlanta, GA, October 2012).

Eastern Finance Association Annual Meetings (Boston, MA, April 2012).

**“Corporate Governance and Risk Taking: Evidence from Defined Benefit Plan Asset Allocation,” (with Shantaram Hegde)**

Financial Management Association International Annual Meetings (Denver, CO, October 2011).

**“Pension Contributions and Corporate Investment: Defined Benefit vs. Defined Contribution Plans,” (with Shantaram Hegde)**

Financial Management Association International Annual Meetings (New York NY, October 2010).

**“What Drives R&D Intensive Stock’s Excess Returns? A Variance Decomposition Analysis”**

Southwestern Finance Association Annual Meetings (Dallas, TX, March 2010). Eastern Finance Association Annual Meetings (Miami, FL, April 2010).

**“Impact of Change in Retirement Benefit Plans on Firm’s Investment, Value, and Risk,” (with Shantaram Hegde)**

Financial Management Association International Annual Meetings (Reno, NV, October 2009). Semi-finalist (top 10%) for 2009 FMA’s risk management award.

Eastern Finance Association Annual Meetings (Washington D.C., April 2009). Southwestern Finance Association Annual Meetings (Oklahoma, OK, February 2009).

## **Teaching**

Undergraduate courses: Financial Management, Investment and Security Analysis, Derivatives and Financial Risk Management, Investment Strategies and Speculative Markets

MBA courses: Corporate Finance, Mergers and Acquisitions, Corporate Restructuring and Governance

## **Professional Affiliations and Service**

American Finance Association (AFA)

Financial Management Association International (FMA)

Eastern Finance Association (EFA)

Asia Finance Association (AsFA)

Program committee member of EFA (2010-2012), SWFA (2010), MFA (2011), FMA (2012)

## **Grants, Scholarships, and Awards**

UConn School of Business Hall of Fame Student Fellow (2012).

Finance Department PhD Student Teaching Award (2012).

2012 CIBER Overseas Fellowship Award.

University of Connecticut Doctoral Dissertation Fellowship (2011).  
 Summer research financial support (2006-2009, 2011).  
 Finance Department Outstanding PhD Student Scholar Award (2010).  
 Summer Doctoral Fellowship (2007-2010).  
 American Finance Association (AFA) Travel Grant (2009).  
 Financial Management Association International (FMA) Travel Grant 2009).  
 Wall Street Journal Award for Outstanding MBA Student (2006).  
 Hanoi National University President's Special Scholarship (1991).

## **Industry Experience**

GE Edgelab, Stamford CT Graduate intern	2005
Boeing Commercial Airplane Group Business Consultant	1998-2004
PCS International Ltd., Hanoi Investment Consultant	1995-1998
Hanoi Tourism and Services Company, Hanoi Travel and Real Estate Manager	1992-1995