# HIEU V. PHAN

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# ACADEMIC EXPERIENCE

Michigan State University Visiting Assistant Professor of Finance	August 2012 - present
University of Massachusetts, Lowell Assistant Professor of Finance	2012-2013
University of Connecticut PhD Student Instructor of Finance	2008-2012
EDUCATION	
<b>University of Connecticut, Storrs, CT</b> PhD Finance	August 2012

PhD, Finance	August 2012
MBA	May 2006
National Economic University, Hanoi, Vietnam BA, Banking and Finance	June 1996
Hanoi National University, Hanoi, Vietnam BA, English	May 1992

# RESEARCH

#### **PUBLICATIONS**

**Phan, Hieu**. "Inside Debt and Mergers and Acquisitions." *Journal of Financial and Quantitative Analysis*, forthcoming.

**Phan, Hieu**, and Shantaram Hegde. "Corporate Governance and Risk Taking: Evidence from Defined Benefit Plan Asset Allocation." *Journal of Financial and Quantitative Analysis*, forthcoming.

**Phan, Hieu**, and Shantaram Hegde. "Pension Contributions and Firm Performance: Evidence from Frozen Defined Benefit Plans." *Financial Management*, forthcoming.

#### **CONFERENCE PRESENTATIONS**

Phan, Hieu. "Inside Debt and Mergers and Acquisitions."

Financial Management International Association Annual Meetings (Atlanta, GA, October 2012).

Eastern Finance Association Annual Meetings (Boston, MA, April 2012).

**Phan, Hieu.** "Corporate Governance and Risk Taking: Evidence from Defined Benefit Plan Asset Allocation."

Financial Management Association International Annual Meetings (Denver, CO, October 2011).

Hegde, Shantaram, and **Hieu Phan**. "Failed Takeovers and Acquirer Stock Returns: Evidence from Voluntary Withdrawals."

Financial Management Association International Annual Meetings (Chicago, IL, October 2013).

Eastern Finance Association Annual Meetings (Savannah, GA, April 2011).

**Phan, Hieu,** and Shantaram Hegde. "Pension Contributions and Corporate Investment: Defined Benefit vs. Defined Contribution Plans."

Financial Management Association International Annual Meetings (New York NY, October 2010).

**Phan, Hieu.** "What Drives R&D Intensive Stock's Excess Returns? A Variance Decomposition Analysis."

Southwestern Finance Association Annual Meetings (Dallas, TX, March 2010). Eastern Finance Association Annual Meetings (Miami, FL, April 2010).

**Phan, Hieu,** and Shantaram Hegde. "Impact of Change in Retirement Benefit Plans on Firm's Investment, Value, and Risk."

Financial Management Association International Annual Meetings (Reno, NV, October 2009). Semi-finalist (top 10%) for 2009 FMA's risk management award.

Eastern Finance Association Annual Meetings (Washington D.C., April 2009).

Southwestern Finance Association Annual Meetings (Oklahoma, OK, February 2009).

# **GRANTS, SCHOLARSHIPS AND AWARDS**

UConn School of Business Hall of Fame Student Fellow (2012).
Finance Department PhD Student Teaching Award (2012).
2012 CIBER Overseas Fellowship Award.
University of Connecticut Doctoral Dissertation Fellowship (2011).
Summer research financial support (2006-2009, 2011).
Finance Department Outstanding PhD Student Scholar Award (2010).
Summer Doctoral Fellowship (2007-2010).
American Finance Association (AFA) Travel Grant (2009).
Financial Management Association International (FMA) Travel Grant (2009).
Wall Street Journal Award for Outstanding MBA Student (2006).
Hanoi National University President's Special Scholarship (1991).

# **PROFESSIONAL AFFILIATIONS AND SERVICE**

American Finance Association (AFA) Financial Management Association International (FMA) Eastern Finance Association (EFA) Asia Finance Association (AsFA)

Program committee member of EFA (2010-2012), SWFA (2010), MFA (2011), FMA (2012).

# **INDUSTRY EXPERIENCE**

GE Edgelab, Stamford CT	Summer 2005
Graduate intern	
Boeing Commercial Airplane Group	1998-2004
Business Consultant	
PCS International Ltd., Hanoi	1995-1998
Investment Consultant	
Hanoi Tourism and Services Company, Hanoi	1992-1995
Travel and Real Estate Manager	